

Appl. No: 10/518,110

Dockei No: EX03-039C-US

IN THE UNITED ES PATENT AND TRADEMARK OFFICE

In re Patent Application of Canne, et al.

Application No.: 10/518,110 Filed: December 10, 2004

HUMAN ADAM-10 INHIBITORS For:

Confirmation No.: 3309

Attorney Docket No.: EX03-039C-US

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, this Information Disclosure Statement is being submitted for the above-referenced application.

Applicant notes that Citation Nos. 8 and 9 of the Information Disclosure Statement in the attached PTO Form 1449 was first cited in the International Search Report (copy attached) from the International Searching Authority in the counterpart PCT application.

In accordance with §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined by 37 CFR §1.56 exists.

In accordance with §1.97(h), the disclosure contained herein is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" or "analogous art" for this invention.

It is believed that no fees are required, as the disclosure is submitted prior to the first office action on the merits. However, if this belief is in error, the Commissioner is authorized to charge any required fees to deposit account no. 50-1108.

Respectfully submitted,

Dated: March 17, 2005

Michael Atkins Reg. No. 35,431

EXELIXIS, INC.

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Appl. No: 10/518,110 Docket No: EX03-039C-



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The Chemical Abstracts Registry (CAS®) database was queried to identify structures that are identical or related to the structures recited in the claims of the above-referenced patent application. The following patent publications were identified:

EP0984959, MONSANTO CO, 2000-03-15

EP1202724, WARNER LAMBERT CO, 2002-05-08

EP1233016, WARNER LAMBERT CO, 2002-08-21

EP1233017, WARNER LAMBERT CO, 2002-08-21

EP1233018, WARNER LAMBERT CO, 2002-08-21

EP1279674, AMERICAN CYANAMID CO, 2003-01-29

EP1149072, HOFFMANN LA ROCHE, 2001-10-31

US6548524, AMERICAN CYANAMID CO, 2003-04-15

US6566384, DARWIN DISCOVERY LTD, 2003-05-20

US2003139414, PROCTER & GAMBLE, 2003-07-24

US2003166687, WARPEHOSKI ET AL, 2003-09-04

US5646167, CIBA GEIGY CORP, 1997-07-08

US5861510, PFIZER, 1999-01-19

US6506936, FIBROGEN INC, 2003-01-14

US6566381, PROCTER & GAMBLE, 2003-05-20

US6734183, ASTRAZENECA AB, 2004-05-11

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US2003139414, PROCTER & GAMBLE, 2003-07-24

US5753653, AGOURON PHARMA, 1998-05-19

US2003199520, BILLLEDEAU et al., 2003-10-23

WO03082288, PROLIFIX LTD, 2003-10-09

WO02062756, PHARMACIA CORP, 2002-08-15

WO0063165, FUJISAWA PHARMACEUTICAL CO, 2000-10-26

WO0044739, ABBOTT LAB, 2000-08-03

WO02067866, AXYS PHARM INC, 2002-09-06

WO02062756, PHARMACIA CORP, 2002-08-15

Appl. No: 10/518,110 Docket No: EX03-039C-

WO9808815, PROCTER & GAMBLE, 1998-03-05 WO9850348, AGOURON PHARMA, 1998-11-12 WO9640101, CIBA GEIGY AG, 1996-12-19

The above-references have <u>not</u> been reviewed to assess whether they are material to the patentability of the claimed invention; nor have they been reviewed to assess whether they are substantively cumulative to the disclosures of the references cited in and provided with the "Information Disclosure Statement by Applicant" filed simultaneously herewith.

Upon request, Applicants will gladly obtain copies of any of these references and forward them to the Examiner for consideration.

Respectfully submitted,

Dated: March 17, 2005

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PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE

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STATEMENT BY APPLICANT

(Use as many sheets as necessary)

2 Sheet

| Complete if Known | | | |
|------------------------|--------------|--|--|
| Application Number | 10/518,110 | | |
| Filing Date | 12/10/2004 | | |
| First Named Inventor | Canne | | |
| Art Unit | | | |
| Examiner Name | | | |
| Attorney Docket Number | EX03-039C-US | | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------|--|------------------|--|---|--|
| Examiner | Cite No.¹ | Document Number Publica | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| Initials * | | Number - Kind Code ² (if known) | MM-DD-YYYY | | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | |
|-----------------------|------------------------------|--------------------|----------------|---|--|--|--|
| Examiner Initials* | Cite Foreign Patent Document | | Publication | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | | Date MM-DD-YYYY | T ⁶ | | | | |
| | 1 | WO9808825/ | 3/5/98 | THE PROCTER & GAMBLE CO. | | | |
| | 2 | WO9827069 · | 6/25/98 | SAWA PHARMACEUTI CAL CO | | | |
| | 3 | WO9633172 / | 10/24/96 | PFIZER, INC | | | |
| | 4 | WO9834918 ~ | 8/13/98 | PFIZER, INC | | | |
| | 5 | WO0009492 - | 2/24/00 | PFIZER, INC | | | |
| | 6 | WO9958531 | 11/18/99 | DU PONT PHARMACEUTI CALS CO | | | |
| | 7 | WO9720824 / | 6/12/97 | AGOURON PHARMACEUTI CALS, INC | | | |
| | 8 | US5753653 | 5/19/98 | AGOURON PHARMACEUTI CALS, INC | | | |
| | 9 | US6333324 | 12/25/01 | FUJISAWA PHARMACEUTI CAL CO. | | | |

| Examiner Signature | Date Considered | |
|-----------------------|--------------------|--|
| Oignature | 00113100100 | |

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

(Use as many sheets as necessary)

Complete if Known

Application Number 10/518,110

Filing Date 12/10/2004

First Named Inventor Canne

Art Unit Examiner Name

Attorney Docket Number EX03-039C-US

Examiner Initials * Cite Initials * Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

LETAVIC et al, Synthesis and biological activity of piperazine-based dual MMP-13 and TNF-alpha converting enzyme inhibitors.

Bioorg Med Chem Lett. 2003 Oct 6;13(19):3243-6.

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.